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(71) Applicant (for all designated States except US): **ABBOTT  
LABORATORIES VASCULAR ENTERPRISES, LIM-  
ITED** [IE/IE]; Earlsfort Center, Terrace, Dublin 2 (IE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **NIELSEN, Stevan**  
[DK/DE]; Wilhelm-Maybach-Strasse 14, 72108 Rotten-  
burg (DE). **QUINT, Bodo** [DE/DE]; Beim Betzenbrunnen

12, 72108 Rottenburg (DE). **VON OEPEN, Randolf**  
[DE/DE]; Gartenstrasse 42, 72074 Tübingen (DE).  
**MICHLITSCH, Kenneth, J.** [US/US]; 4613 Pamela  
Commons, Livermore, CA 94550 (US). **SEIBOLD, Gerd**  
[DE/DE]; Achalmstrasse 9, 72119 Ammerbuch (DE).  
**CONZELMANN, Tommy** [DE/DE]; Im Haertzgert 16,  
72414 Rangendingen (DE). **JOERGENSEN, Ib Erling**  
[DK/DE]; Pflughofstrasse 9, 72401 Haigerloch (DE).

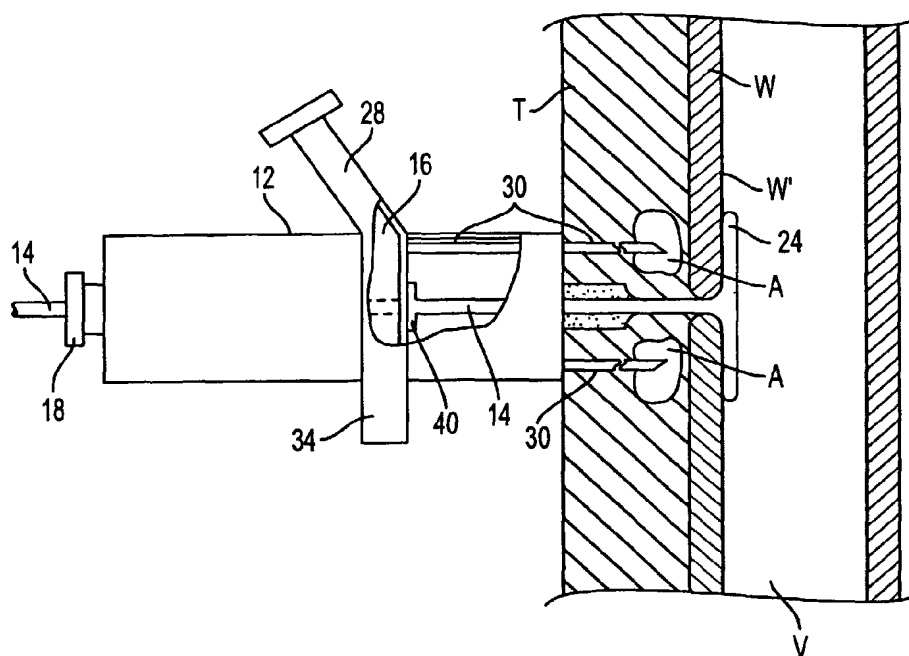
(74) Agent: **VOSSIUS & PARTNER**; Siebertsrasse 4, 81675  
München (DE).

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(54) Title: APPARATUS FOR SEALING A PUNCTURE BY CAUSING A REDUCTION IN THE CIRCUMFERENCE OF THE PUNCTURE



(57) Abstract: Apparatus is provided for sealing a vascular puncture by causing a reduction in the circumference of the puncture tract through delivery of a closure agent into tissue surrounding the puncture tract. A resultant inflammatory response and volumetric increase cause the tissue to swell into the puncture tract, thereby sealing it.

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APPARATUS FOR SEALING A PUNCTURE BY CAUSING A REDUCTION  
IN THE CIRCUMFERENCE OF THE PUNCTURE

Field of the Invention

5     **[0001]**     The present invention relates to apparatus  
for sealing punctures. More specifically, the  
invention relates to apparatus that may be  
percutaneously inserted to seal a puncture by causing a  
reduction in the circumference of the puncture.

10     Background of the Invention

15     **[0002]**     A large number of medical diagnostic and  
therapeutic procedures involve percutaneous  
introduction of instrumentation into a blood vessel.  
For example, coronary angioplasty, angiography,  
15     atherectomy, stenting, and numerous other procedures  
often involve accessing the vasculature through  
placement of a catheter or other device in a patient's  
femoral artery or other blood vessel. Once the  
procedure is completed and the catheter or other  
20     diagnostic or therapeutic device is removed, bleeding  
from the resultant vascular puncture must be stopped.

**[0003]**     Traditionally, a medical practitioner applies  
external pressure to the percutaneous entry site to

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stem bleeding until hemostasis has occurred (i.e. when the clotting and tissue rebuilding have sealed the puncture). This method, however, presents numerous problems. In some instances, this pressure must be  
5 applied for up to an hour or more during which time the patient is uncomfortably immobilized. In addition, there exists a risk of hematoma, since bleeding from the puncture may continue until sufficient clotting affects hemostasis. Furthermore, application of  
10 external pressure to stop bleeding may be unsuitable for patients with substantial amounts of subcutaneous adipose tissue, because the skin surface may be a considerable distance from the puncture site, thereby rendering external compression less effective.

15 [0004] Another traditional approach to subcutaneous puncture closure involves internally suturing the vessel puncture. This method, however, comprises a complex process and requires considerable skill by the medical practitioner.

20 [0005] Mechanical occlusion devices have been proposed for sealing vascular punctures in U.S. Provisional Patent Application Serial No. 60/400,658, filed July 31, 2002, which describes a closure device having two expandable disks that sealingly compress a  
25 vessel or tissue surrounding the puncture site. Advantageously, the two disks may be repositioned post-deployment at the puncture without inflicting additional trauma to the engaged vessel or tissue.

[0006] Apparatus and methods also are known in which  
30 a plug is introduced into the vessel puncture, to cover the puncture and promote hemostasis. Various types of plugs have been proposed. One example is described in U.S. Patent No. 5,061,274 to Kenney, comprising a plug

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made from animal-derived collagen. Such a plug inserted into the puncture may be dislodged into the vessel during the healing process due to the application of pressure to the wound, potentially causing stenosis of the vessel. Furthermore, the presence of the plug within the puncture prevents re-access to the puncture site without potentially dislodging the plug into the vessel. Further still, there is a risk of unwanted adverse reaction to the animal-derived collagen.

[0007] In view of the drawbacks associated with prior art methods and apparatus for sealing a vascular puncture, it would be desirable to provide apparatus that overcomes such drawbacks.

[0008] It would be desirable to provide apparatus for sealing a vascular puncture by inducing a reduction in the circumference of the puncture.

[0009] It also would be desirable to provide apparatus for sealing a vascular puncture that reduces a potential that a portion of a wound closure device will protrude into the vessel lumen.

[0010] It further would be desirable to provide apparatus for sealing a vascular puncture that is easy to use.

[0011] It even further would be desirable to provide apparatus for sealing a vascular puncture that permits re-access to the puncture site.

[0012] It still further would be desirable to provide apparatus for sealing a vascular puncture that are biodegradable.

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Summary of the Invention

[0013] In view of the foregoing, it is an object of the present invention to provide apparatus for sealing a vascular puncture by inducing a reduction in the circumference of the puncture.

[0014] It also is an object of the present invention to provide apparatus for sealing a vascular puncture that reduces a potential that a portion of a wound closure device will protrude into the vessel lumen.

[0015] It further is an object of the present invention to provide apparatus for sealing a vascular puncture that is easy to use.

[0016] It even further is an object of the present invention to provide apparatus for sealing a vascular puncture that permits re-access to the puncture site.

[0017] It still further would be desirable to provide apparatus for sealing a vascular puncture that are biodegradable.

[0018] These and other objects of the present invention are accomplished by providing apparatus for sealing a vascular puncture by delivering an agent into tissue surrounding a puncture tract that induces a reduction in the circumference of the puncture and puncture tract. More specifically, the apparatus of the present invention induces reduction of the puncture diameter by delivering a closure agent into tissue immediately surrounding the puncture tract to cause the tissue to swell into and seal the puncture tract. The closure agent may comprise a water swellable gel, collagen, a saline bolus, a granular slurry, an inflammatory substance, and/or a plurality of balloons.

[0019] A first embodiment of apparatus of the present invention comprises a housing having a

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plurality of needles, and optionally, a shaft having an expandable member disposed on its distal end. When expanded, the expandable member engages an inner vessel surface distal to the puncture. The proximal force transmitted by the expandable member stabilizes the vessel wall and surrounding tissue during insertion of the needles.

[0020] An optional injection manifold may be fluidically coupled to an injection port and the plurality of needles. An actuator coupled to the injection manifold may be operable to cause the plurality of needles to penetrate into the subcutaneous tissue surrounding the puncture tract in a direction generally parallel to the puncture tract.

[0021] In accordance with one aspect of the present invention, the injection apparatus also comprises a stop to limit distal advancement of the plurality of needles into the tissue surrounding the puncture tract. In a preferred embodiment, this may be associated with the position of the expandable member in the puncture tract.

[0022] In an alternative embodiment of the present invention, apparatus may be provided comprising a housing coupled to a plurality of needles that have lengths shorter than that of the puncture tract, or that are constrained such that a depth to which they may penetrate tissue is not greater than the length of the puncture tract. Preferably, the housing is annular in shape and the plurality of needles are circumferentially arrayed. However, other configurations also are within the scope of the present invention. The apparatus is preferably configured such that the housing limits a depth to which the needles

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may be advanced into the patient's tissue by abutting the exterior of the patient, i.e. abutting the patient's skin, when the needles have been translated to their maximum depth proximal of the vessel. This  
5 reduces a risk of inadvertent insertion of the needles into vessel V.

[0023] The apparatus optionally comprises a centering shaft configured for placement in the puncture tract. The plurality of needles may be  
10 advanced in unison with the centering shaft into the tissue and puncture tract, respectively, or may be advanced over the centering shaft after the centering shaft is already disposed within the puncture tract. This ensures that the plurality of needles is centered  
15 about the puncture tract and thereby accurately punctures tissue surrounding the puncture tract.

#### Brief Description of the Drawings

[0024] Further features of the present invention,  
20 its nature and various advantages will be more apparent from the accompanying drawings and the following detailed description of the preferred embodiments, in which:

[0025] FIG. 1 is a schematic side-sectional view of  
25 a vascular puncture tract;

[0026] FIG. 2A is a schematic perspective view, partially in section, of apparatus of the present invention deployed to seal the puncture of FIG. 1;

[0027] FIG. 2B is a schematic side-sectional view of  
30 the apparatus of FIG. 2A;

[0028] FIG. 3 is a schematic side view, partially in section, of an alternative embodiment of an expandable



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member of the apparatus of the present invention in its deployed configuration;

[0029] FIGS. 4A and 4B are schematic side views, partially in section, of a plurality of needles of the apparatus of the present invention, the two views illustrating delivery of closure agent to tissue surrounding a puncture tract without and with, respectively, the use of the expandable member of FIG. 3;

10 [0030] FIGS. 5A-5D are schematic side views, partially in section and/or cut-away, describing an exemplary method of using the apparatus of FIGS. 1-4;

[0031] FIGS. 6A and 6B are schematic side views, partially in section, describing an optional step in using the apparatus of FIGS. 1-4;

15 [0032] FIG. 7A is a schematic side view, partially in section of an alternative closure agent of the apparatus of FIGS. 1-6;

[0033] FIG. 7B is a schematic perspective detail view of the closure agent of FIG. 7A;

[0034] FIG. 8 is a schematic perspective cut-away view of an alternative embodiment of the present invention; and

[0035] FIG. 9 is a schematic perspective view of another alternative embodiment of the present invention.

#### Detailed Description of the Invention

[0036] Upon completion of a medical diagnostic or therapeutic procedure involving percutaneous introduction of instrumentation into blood vessel **V**, removal of the instrumentation from the patient leaves puncture tract **TR**. As seen in FIG. 1, puncture tract

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TR extends through subcutaneous tissue T and vessel wall W, and terminates at puncture P. The apparatus of the present invention is directed to a device for sealing puncture P by causing a reduction in the circumference of the puncture and puncture tract. Specifically, the device of the present invention involves delivering a closure agent into tissue T immediately surrounding puncture tract TR to cause tissue T to swell into and seal puncture tract TR.

10 [0037] In a preferred embodiment of the present invention, the closure agent comprises a biodegradable substance that may be delivered in a fluid state, and that swells or increases in volume in the presence of water. A continual increase in the volume of the closure agent, when disposed within the tissue surrounding puncture tract TR, causes a consequent swelling of the tissue that decreases the circumference of puncture P until the puncture is closed.

[0038] One example of a closure agent suitable for use with the apparatus of the present invention is a hydrogel. A number of synthetic and animal-based hydrogels are known in the art.

[0039] Alternatively, the closure agent may comprise an inflammatory substance. Injection of the inflammatory substance into tissue T surrounding puncture tract TR causes a narrowing of the puncture by initiating a localized inflammation response in tissue T due to the presence of the inflammatory substance, thereby causing localized swelling that decreases the circumference of puncture tract TR and puncture P until the puncture is closed.

[0040] One example of an inflammatory substance that may be used is copper sulfite. Described in The Merck

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Index, 12<sup>th</sup> Edition, (Budavari et al., Eds.) Merck Research Laboratories, Whitehouse Station, New Jersey, 1996, copper sulfate is a strong irritant that is soluble in water. One parameter that indicates the toxicity of copper sulfate is its LD<sub>50</sub> index, which is defined herein as the amount of a substance that is sufficient to kill 50% of a population of animals. The LD<sub>50</sub> for copper sulfate, when administered orally to rats, is 960 mg/kg. Thus, when administered in low dosages as a closure agent in accordance with the principles of the present invention, copper sulfate may provide localized inflammation in the area surrounding puncture P, and yet holistically be well tolerated by the patient.

15    **[0041]**     As yet further alternatives, the closure agent may comprise collagen, a bolus of saline, which is resorbed into the tissue, a slurry formed from granules of a biocompatible substance, such as polyglycolic acid, and/or an expandable member, such as one or more inflatable balloons, to be described in greater detail hereinbelow.

**[0042]**     An illustrative embodiment of device 10 of the present invention is shown in FIGS. 2A and 2B, percutaneously disposed traversing puncture tract **TR**.  
25    Injection device 10 comprises housing 12, shaft 14, and injection manifold 16. Shaft 14 is disposed to be axially translatable with respect to housing 12 through Toughy-Borst valve 18, which is disposed on the proximal end of housing 12, and through distal lumen  
30    20, which is disposed in the distal end of housing 12. Toughy-Borst valve 18 may be actuated to releasably lock shaft 14 to housing 12. Shaft 14 is coupled to port 22, and is used to deploy and retract expandable

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member 24 disposed on the distal end of shaft 14. Illustratively, expandable member 24 is a disk-shaped balloon, although other structures such as mechanically expandable baskets, pledgets or super-elastic coils may be used. Port 22 may comprise, e.g., a luer valve, and be configured to releasably couple to a standard inflation source (not shown), per se known in the art. In the configuration shown in FIGS. 2, expandable member 24 has a diameter greater than the diameter of puncture P. Shaft 14 also may comprise radiopaque band 27 disposed on the distal end of the shaft to provide visual guidance during insertion of apparatus 10 when used with a fluoroscopy system, as is known in the art.

[0043] Manifold 16 comprises inlet port 28, and is in fluid communication with plurality of needles 30. As more clearly shown in the inset of FIG. 2A, each needle 30 has injection lumen 31 in fluid communication with manifold 16, at least one injection aperture 32 disposed on the distal end of needle 30, sharp distal tip 35 shaped to penetrate subcutaneous tissue T, and an optional radiopaque marker 37 disposed in the vicinity of distal tip 35. Inlet port 28 may comprise, for example, a luer coupling that may be releasably coupled to a source of closure agent (not shown).

Fluid communication among inlet port 28, injection manifold 16, and lumens 31 permits closure agent introduced into inlet port 28 to flow into injection lumens 31, and through apertures 32 into the tissue surrounding the puncture tract. Injection manifold 16 illustratively has an annular shape, and is configured to be disposed around and slide along shaft 14. Inlet port 28 extends from housing 12 through slot 33 and may

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be coupled to actuator 34 concentrically disposed around lateral wall 36 of housing 12.

[0044] Along with inlet port 28, actuator 34 may be used to longitudinally translate manifold 16, subsequently translating needles 30 therewith. Translation of needles 30 is guided by plurality of needle lumens 38 formed in a distal portion of housing 12. The length of slot 33 and the relative position of shaft 14 limit translation of needles 30. Shaft 14 may include flange 40, which serves as a distal stop to limit distal translation of injection manifold 16. Flange 40 is positioned along shaft 14 so that the distance between flange 40 and expandable member 24 is slightly greater than the length of needles 30. Advantageously, this ensures that needles 30 may be disposed within tissue just proximal of vessel wall W to deliver closure agent therein, without piercing vessel wall W. Stop 40 eliminates the need for visual confirmation of the position of needles 30 and apertures 32 prior to injection of the closure agent. Alternatively, if visual confirmation is desired, observation of radiopaque markers 27 and 37 respectively disposed on shaft 14 and needles 30 may be made using conventional fluoroscopic techniques.

[0045] It will be evident to one of ordinary skill in the art that apparatus 10 of the present invention may comprise more than the two needles, as shown in the figures, or as few as a single needle. If puncture tract TR and puncture P are substantially circular in shape, additional needles 30 may be disposed to penetrate subcutaneous tissue T so that closure agent may be injected into the tissue surrounding puncture tract TR in a circular pattern. If the puncture tract

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and the puncture is of another geometry, needles 30 may be configured to penetrate the subcutaneous tissue in a pattern that complements that geometry.

[0046] In accordance with one aspect of the present invention, expandable member 24 is disk-shaped to provide a substantially flat profile against inner vessel surface **W'** when deployed and engaged therewith. This flat profile reduces disturbance to blood flow, and, in a manner described in greater detail below, expandable member 24 may deflect vessel wall **W** to facilitate delivery of the closure agent. In an alternative embodiment, expandable member 24 may comprise a spherical shape, as illustrated in FIG. 3.

[0047] In accordance with another aspect of the present invention, expandable member 24 is expected to reduce "tenting" of tissue **T** and vessel wall **W** into the lumen of vessel **V**. As used herein and shown in FIG. 4A, "tenting" refers to a tendency for tissue **T** surrounding puncture tract **TR** and vessel wall **W** surrounding puncture **P** to deflect into the lumen of vessel **V** when the tissue begins to swell after the injection of closure agent **A** therein. In the present invention, "tenting" of the tissue into the lumen of vessel **V** may be reduced by application of a proximally directed force to shaft 14, which in turn engages expandable member 24 against inner vessel surface **W'**. Accordingly, as shown in FIG. 4B, any deflection of tissue **T** and vessel wall **W** is directed in the proximal direction away from the lumen of vessel **V**. This reduces a risk that vessel **V** at least partially will be occluded from distal protrusion of tissue **T** and vessel wall **W**. Furthermore, when expanded and engaged against inner vessel surface **W'**, the proximal force transmitted

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by expandable member 24 is expected to stabilize the vessel wall and surrounding tissue during insertion of needles 30.

[0048] Referring now to FIGS. 5, an exemplary method of using apparatus 10 of the present invention is described. First, housing 12 is placed against a patient's skin with distal lumen 20 aligned with puncture tract **TR**. Alignment may be facilitated if shaft 14 is slightly advanced out of distal lumen 20 so that it may be inserted into puncture tract **TR**. Shaft 14 then is distally advanced until expandable member 24 is introduced into the lumen of vessel **V**. This position may be determined by observance of radiopaque band 27 disposed on the distal end of shaft 14, or by tactile feedback.

[0049] Once expandable member 24 is disposed within vessel **V**, expandable member 24 is deployed to its disk-shaped configuration. A proximal force then may be applied to shaft 14 to forcibly engage expandable member 24 against inner vessel surface **W'**, providing immediate hemostasis.

[0050] After expandable member 24 is engaged to vessel wall **W**, Toughey-Borst valve 18 is actuated to lock shaft 14 relative to housing 12, thereby maintaining forcible engagement of expandable member 24 to inner vessel surface **W'**. This in turn locks the longitudinal position of flange 40 attached to shaft 14, and defines the maximum distal position to which needles 30 may be translated. Advantageously, the maximum distal position of needles 30 is designed to coincide with introduction of needles 30 into tissue surrounding the puncture tract just proximal of vessel wall **W**. Alternatively, instead of using Toughey-Borst

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valve 18, a continual proximal force may be applied to shaft 14 to maintain the position of shaft 14 with respect to housing 12.

[0051] Injection manifold 16 then may be translated  
5 in the distal direction by depressing actuator 34. Since needles 30 are affixed to manifold 16, distal force applied to actuator 34 is transmitted to needles 30, thereby penetrating subcutaneous tissue **T** with plurality of needles 30. During insertion of needles  
10 30, the proximal force transmitted by expandable member 24 to inner vessel surface **W'** stabilizes vessel wall **W** and tissue **T**. As discussed above, injection manifold 16 may be actuated in the distal direction until manifold 16 contacts flange 40. At this position,  
15 needles 30 have penetrated into tissue **T** just proximal of vessel wall **W**.

[0052] Inlet port 28 then is coupled to a source of closure agent **A**, such as a syringe (not shown), and the closure agent then is introduced through needles 30  
20 into the tissue surrounding puncture tract **TR**. Depending on whether a biodegradable substance such as a water swellable gel, an inflammatory substance such as copper sulfate, or a bioresorbable substance such as saline or a slurry, is introduced, puncture tract **TR**  
25 will decrease in circumference as a result of swelling of tissue **T** from inflammation thereof and/or a volumetric increase. As discussed with respect to FIGS. 4, the proximal force applied to vessel wall **W** from engagement of expandable member 24 to inner vessel  
30 surface **W'** proximally deflects tissue **T** surrounding puncture tract **TR** as the tissue swells, thereby reducing a potential that the tissue will protrude into the lumen of vessel **V**. This imposed force establishes



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a propensity for any additional swelling of tissue **T** also to "tent" in the proximal direction even after expandable member 24 is contracted and removed from puncture tract **TR**.

5    **[0053]**     After tissue **T** has sufficiently swelled so that blood does not flow from puncture tract **TR**, expandable member 24 is contracted and disengaged from inner vessel surface **W'**. Shaft 14 is released from Touhy-Borst valve 18, and a proximally directed force  
10    is applied to shaft 14 to retract shaft 14 and expandable member 24 from puncture tract **TR**. Since injection manifold 16 is in contact with and proximally disposed relative to flange 40, proximal retraction of shaft 14 also retracts needles 30. It should be  
15    understood that shaft 14, expandable member 24 and needles 30 may alternatively be removed as soon as closure agent **A** has been introduced into the tissue surrounding puncture tract **TR**.

20    **[0054]**     After shaft 14 is removed, the compliancy and continual swelling of tissue **T** causes the vessel wall to pervade the space formerly occupied by shaft 14 within puncture **P** and puncture tract **TR**, thereby sealing the puncture and puncture tract. Any further "tenting" of tissue **T** and vessel wall **W** also is  
25    expected to deflect in the proximal direction pursuant to the propensity established by the previously applied proximally directed force that engaged expandable member 24 to inner vessel surface **W'**.

30    **[0055]**     If puncture tract **TR** is not disposed substantially orthogonal to vessel **V**, as illustrated in FIGS. 6, the proximal force applied to shaft 14 to forcibly engage expandable member 24 against inner vessel surface **W'** locally may deflect vessel wall **W** so

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that the vessel wall in the immediate vicinity of puncture **P** is disposed substantially orthogonal to puncture tract **TR**. This configuration ensures that needles 30 do not penetrate into vessel wall **W**,  
5 expandable member 24, or vessel **V**. Accordingly, expandable member 24 preferably has sufficient strength to locally deflect the vessel wall in the manner shown in FIG. 6B.

[0056] Apparatus 10 of the present invention  
10 presents numerous advantages over previously known systems. First, in contrast to previously known wound closure devices described above, the present invention significantly reduces a risk that the wound closure device may become dislodged into a lumen of vessel **V**,  
15 because the closure agent is injected directly into tissue **T** surrounding puncture tract **TR**, not directly into puncture tract **TR**. Accordingly, this eliminates a potential mode for a thrombotic or embolic event. Furthermore, the proximally directed force that engages  
20 expandable member 24 against inner vessel surface **W'** establishes a propensity for tissue **T** and vessel wall **W** to "tent" in the proximal direction when the tissue swells from the injected closure agent, thereby preventing any protrusion into the lumen of vessel **V**  
25 that may disturb blood flow and serve as a site for thrombosis or embolism.

[0057] Moreover, the apparatus of the present invention is expected to permit re-access to the puncture tract since the present invention does not  
30 implant any structures therein. Accordingly, other diagnostic or therapeutic devices may be reinserted into puncture tract **TR** to access vessel **V** so that additional diagnostic or therapeutic procedures may be

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performed, thereby eliminating the need to create additional puncture sites.

[0058] Referring now to FIGS. 7A-7B, an alternative closure agent for use with device 10 is described.

5 Device 50 is similar to device 10, except for the inclusion of plurality of balloons 52 disposed over apertures 32 in the distal region of needles 30. In the present embodiment, rather than injecting a closure agent directly into tissue **T** surrounding puncture tract  
10 **TR**, a decrease in the circumference of the puncture tract is achieved through inflation of balloons 52 within tissue **T**, which temporarily increase the volume of the tissue, causing the tissue to swell into the puncture tract, thereby decreasing the circumference of  
15 the puncture tract. Balloons 52 may be made of fluid-impermeable elastic material, such as rubber.

[0059] In its deployment configuration, each balloon 52 is disposed around its associated needle 30, as shown in FIG. 7B. Accordingly, when tips 35 of needles  
20 30 penetrate into tissue **T**, balloons 52 also are introduced into the tissue. Once needles 30 have been disposed within tissue **T** in the same manner as that described above with respect to FIGS. 5A-5C, a standard inflation source, e.g., a syringe (not shown), is  
25 fluidically coupled to inlet port 28 so that inflation fluid, such as air, water or saline, may be injected into injection manifold 16 for delivery to balloons 52 through needles 30 and apertures 32.

[0060] Inflation of balloons 52 affects a volumetric  
30 increase in tissue **T** that causes the tissue to swell into puncture tract **TR**, thereby decreasing the circumference of puncture **P**. If expandable member 24 is provided at the distal end of shaft 14, a proximal

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force may be applied to shaft 14, as discussed hereinabove, to engage expandable member 24 to inner vessel wall **W'**, thereby proximally deflecting tissue **T** as the tissue swells. After a period of time during which blood ceases to flow from puncture tract **TR**, balloons 52 may be deflated and retracted from tissue **T** along with needles 30 and shaft 14.

[0061] Alternatively, if expandable member 24 is not provided to facilitate determination of the maximum distal depth to which needles 30 may be translated within tissue **T**, radiopaque markers 37 disposed at the distal tip of needles 30 may be used along with conventional fluoroscopic techniques. As yet another alternative, the lengths of needles 30 may be selected so that the needles will not penetrate the vessel.

[0062] In a further alternative embodiment of device 10, injection port 28, injection lumen 31 and apertures 32 may be omitted. Rather than injecting a closure agent or inflation fluid into needles 30 via injection port 28 and manifold 16, needles 30 may be pre-coated with an inflammatory substance that will dissolve upon exposure to the fluids within tissue **T**, releasing the inflammatory substance to cause an inflammation response that swells the tissue into the puncture tract.

[0063] Referring now to FIG. 8, an alternative embodiment of the present invention is described, wherein device 70 may be provided comprising plurality of needles 30 disposed in fluid communication with manifold 72 having injection port 74 that may be fluidically coupled to a source of closure agent, e.g., one or more syringes (not shown). As with device 10, each needle 30 has injection lumen 31 in fluid

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communication with aperture 32 disposed on distal tip 35. Manifold 72 preferably is annularly shaped with central bore 76 that may be translatably disposed around optional centering shaft 78. While FIG. 8 shows an annular manifold having a circumferential array of needles, it will be evident to one of ordinary skill in the art that other shapes and configurations may be provided without departing from the scope of the invention.

10   **[0064]**     Device 70 limits a maximum depth to which needles 30 may be advanced into tissue **T** by providing needles of a specified length that is not as long as the length of the puncture tract. Alternatively, the maximum depth may be limited by specifying the distance  
15   that needles 30 may extend beyond manifold 72. Preferably, when needles 30 are inserted to their maximum depth, manifold 72 abuts the exterior of the patient, i.e., the patient's skin. Such depth may, for example, be limited to less than 5cm. This depth is  
20   provided only for the sake of illustration and alternative depths will be apparent to those of skill in the art. Limiting the depth to which needles 30 may be inserted into tissue **T** is expected to reduce a risk of inadvertent insertion of needles 30 into vessel **V**.

25   **[0065]**     The apparatus optionally may comprise centering shaft 78 that may be translatably disposed within central bore 76 of manifold 72 and within puncture tract **TR**. Plurality of needles 30 may be advanced in unison with centering shaft 78 into tissue  
30   **T** and puncture tract **TR**, respectively, in which case centering shaft 78 optionally may be formed as an integral part of device 70. Alternatively, plurality of needles 30 may be advanced over centering shaft 78

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after centering shaft 78 has already been disposed within puncture tract **TR**. Both embodiments center needles 30 for accurate penetration thereof into tissue **T** surrounding the puncture tract.

- 5    **[0066]**     Centering shaft 78 may incorporate optional central lumen 80 that may be used to determine the depth of puncture tract **TR** so that an appropriate maximum depth to which the needles may be advanced or an appropriate length of needles 30 may be chosen.
- 10   Specifically, centering shaft 78 may be inserted into puncture tract **TR** until blood from vessel **V** backbleeds into lumen 80. This indicates that the distal end of central shaft 78 (not shown) is disposed just proximal to vessel **V**, or, alternatively, that the distal end of
- 15   central shaft 78 is disposed at the distal end of puncture tract **TR**. Indicia 82 disposed on the proximal end of central shaft 78 then may be noted to determine the depth of puncture tract **TR**, or alternatively the maximum depth/length of needles 30 that may be used to
- 20   avoid inadvertent penetration of needles 30 into vessel **V**. Accordingly, the present invention preferably provides a multiplicity of needle lengths. Alternatively, the distance of needles 30 extending beyond manifold 72 may be adjustable.
- 25   **[0067]**     As in the preceding embodiments, the closure agent may include a water swellable gel, collagen, an inflammatory substance, a saline bolus, and/or a granular slurry of a biocompatible substance. Alternatively, the closure agent may comprise a
- 30   plurality of balloons affixed to the distal ends of needles 30 over apertures 32. Inflation fluid may be introduced into the balloons through injection port 74 and manifold 72.

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[0068] In a further alternative embodiment of the present invention, injection manifold 72 and injection port 74 may be omitted. Device 90 comprises solid housing 92 to which plurality of needles 94 are affixed. Device 90 also may comprise optional centering shaft 78 having central lumen 80 and indicia 82. Centering shaft 78 may be formed integrally with device 90 or may be provided as a separate element. As in the previous embodiment, centering shaft 78 may be used to align plurality of needles 84 with puncture tract **TR**, and central lumen 80 and indicia 82 may be used to determine the length of puncture tract **TR** and thereby the maximum length of needles 94 that may be used to avoid inadvertent penetration thereof into vessel **V**. Alternatively, the distance of needles 94 extending beyond housing 92 may be adjustable, thereby making the maximum depth to which the needles may be advanced into tissue **T** adjustable.

[0069] Device 90 differs from the previous embodiments in that needles 94 are solid, i.e. have no injection lumen. To deliver a closure agent to tissue **T** surrounding puncture tract **TR**, needles 94 are pre-coated with an inflammatory substance that dissolves within tissue **T** upon penetration thereof and exposure to the fluids therein. A resultant inflammation response swells tissue **T** into puncture tract **TR**, thereby closing puncture **P**.

[0070] While preferred illustrative embodiments of the present invention are described above, it will be apparent to one skilled in the art that various changes and modifications may be made therein without departing from the invention. For example, additional closure agents, per se known, will be apparent. The appended

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claims are intended to cover all such changes and modifications that fall within the true spirit and scope of the invention.



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Claims

1. An apparatus for sealing a puncture tract disposed within tissue, the apparatus comprising:

a housing; and

a plurality of needles coupled to the housing, the plurality of needles configured to penetrate tissue surrounding the puncture tract to deliver a closure agent into the tissue, thereby sealing the puncture tract.

2. The apparatus of claim 1, further comprising an expandable member configured to be disposed within the puncture tract to stabilize the tissue during insertion of the plurality of needles.

3. The apparatus of claim 2, wherein the expandable member is disposed from a distal end of a shaft coupled to the housing.

4. The apparatus of claim 1, 2 or 3, further comprising a stop configured to limit translation of the plurality of needles into the tissue.

5. The apparatus of claim 1, 2, 3 or 4, further comprising an actuator coupled to the plurality of needles for selective translation of the plurality of needles.

6. The apparatus of claim 1, 2, 3, 4 or 5, wherein the closure agent comprises a biodegradable substance.

7. The apparatus of claim 6, wherein the biodegradable substance is chosen from the group consisting of a water swellable gel, collagen, a saline

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bolus, a slurry of a biocompatible substance, and combinations thereof.

8. The apparatus of any one of claims 1 to 7, wherein the closure agent comprises an inflammatory substance that causes a localized inflammation response.

9. The apparatus of claim 8, wherein the inflammatory substance comprises copper sulfate.

10. The apparatus of any one of claim 1 to 9, wherein the closure agent comprises at least one balloon coupled to the plurality of needles.

11. The apparatus of any one of claims 1 to 10, wherein the closure agent is coated onto the plurality of needles.

12. The apparatus of any one of claims 1 to 11, wherein each one of the plurality of needles comprises a distal tip configured to penetrate the tissue, a distal aperture, and a lumen that couples the distal aperture to a source of closure agent.

13. The apparatus of claim 10, 11 or 12, further comprising a radiopaque marker disposed adjacent to the distal tip of each one of the plurality of needles.

14. The apparatus of any one of claims 3 to 13, further comprising a radiopaque band disposed on the distal end of the shaft.

15. The apparatus of any one of claims 1 to 14, wherein the housing further comprises a manifold

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having an inlet port, the manifold in fluid communication with the plurality of needles.

16. The apparatus of claim 15, wherein the plurality of needles are configured to translate with the manifold.

17. The apparatus of any one of claims 3 to 16, wherein the expandable member has a deployed configuration configured for engagement with an interior surface of a vessel.

18. The apparatus of any one of claims 1 to 17, wherein the plurality of needles are coupled to the housing in a predetermined array.

19. The apparatus of claim 18, wherein the predetermined array comprises an annular configuration.

20. The apparatus of any one of claims 1 to 19, wherein the puncture tract has a first length and the plurality of needles has a second length extending distal of the housing, and wherein the second length is less than the first length.

21. The apparatus of any one of claims 1 to 20, further comprising a centering shaft adapted for placement in the puncture tract.

22. The apparatus of claim 21, wherein the plurality of needles and the housing are rigidly coupled to the centering shaft.

23. The apparatus of claim 21 or 22, wherein the plurality of needles and the housing are configured for advancement over the centering shaft.

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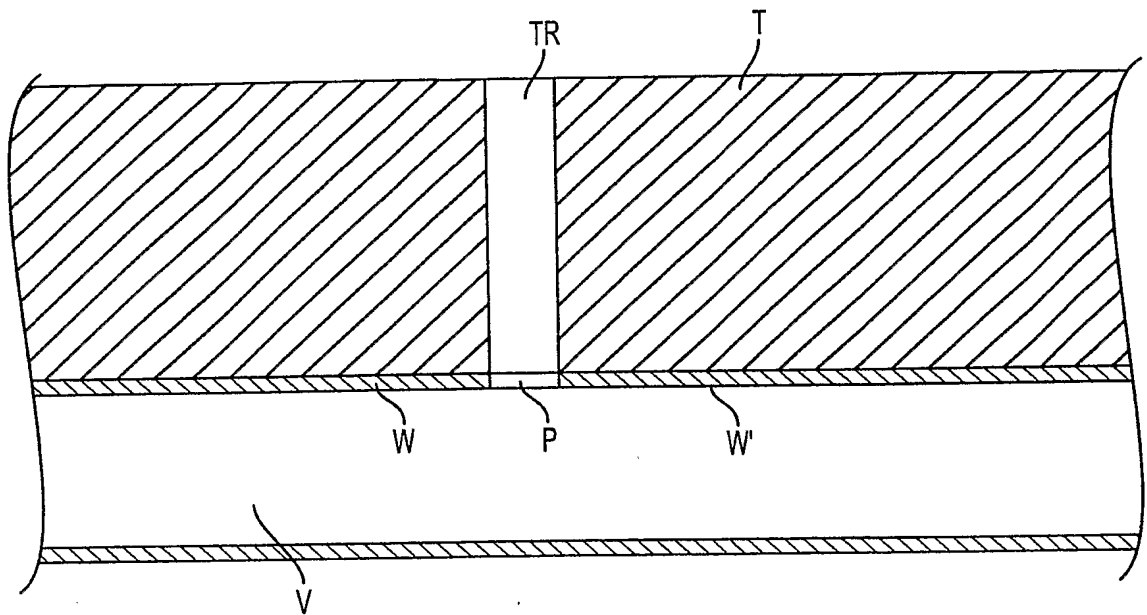


FIG. 1

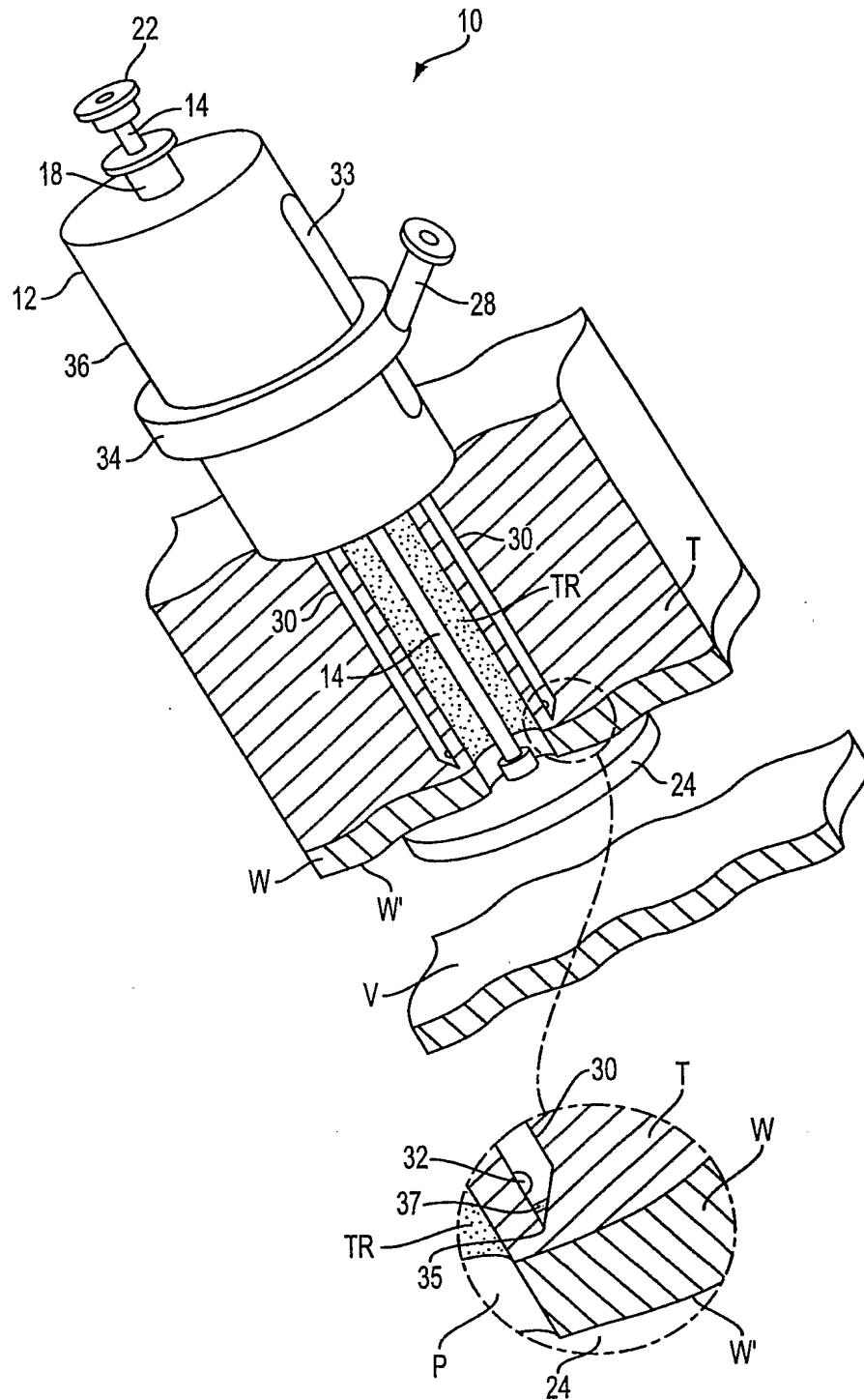


FIG. 2A

**SUBSTITUTE SHEET (RULE 26)**

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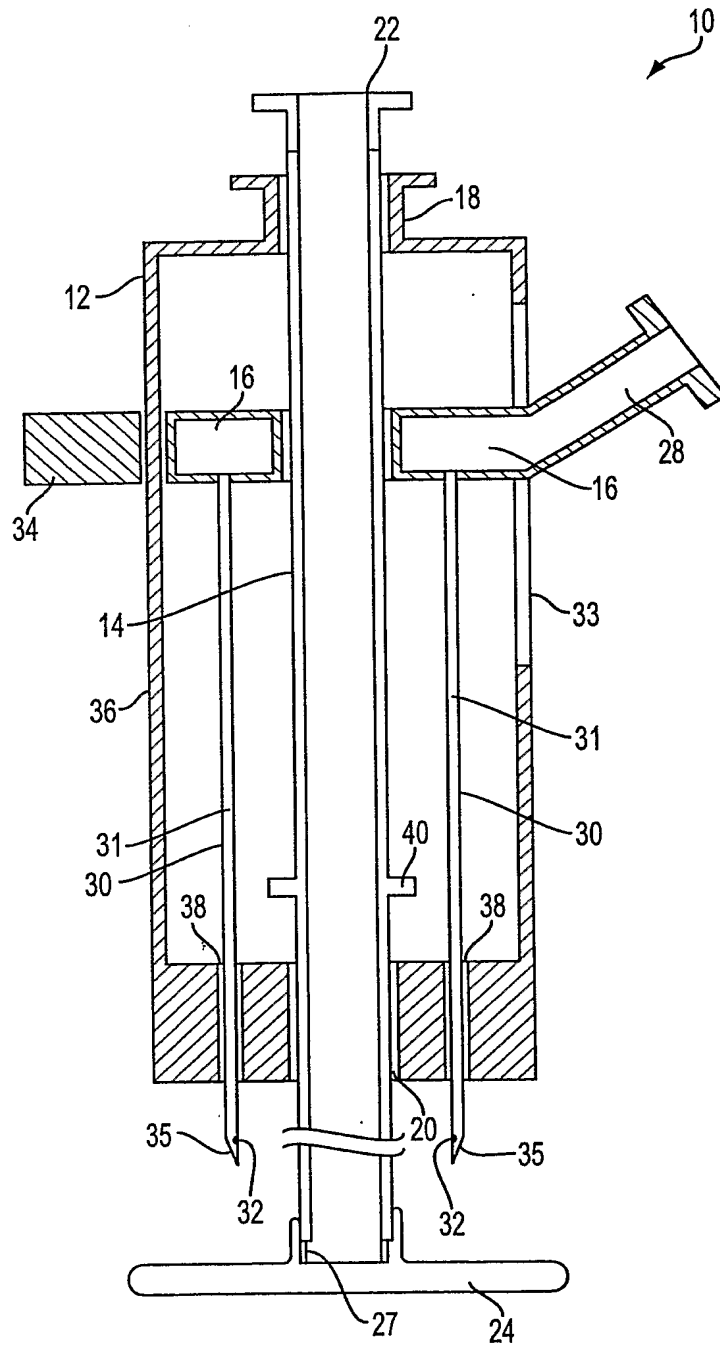


FIG. 2B

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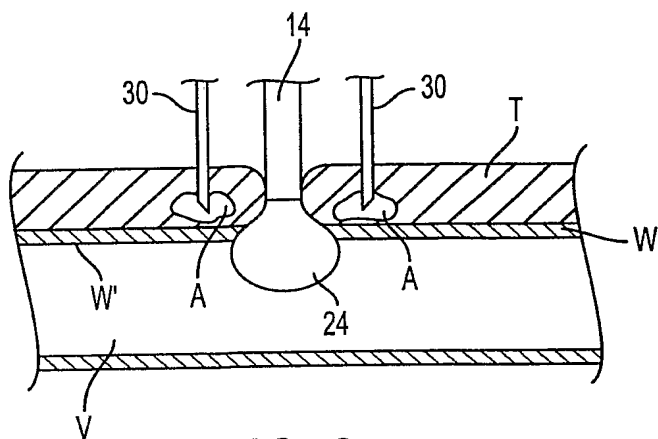


FIG. 3

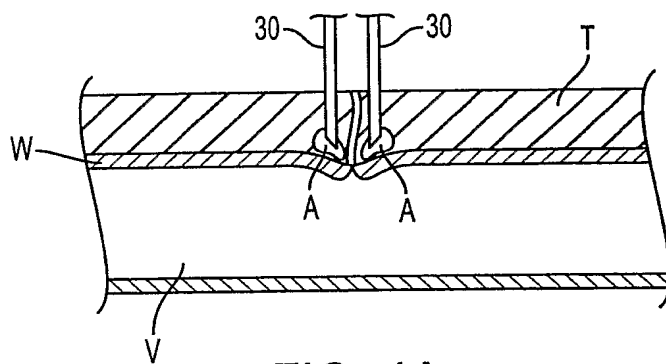


FIG. 4A

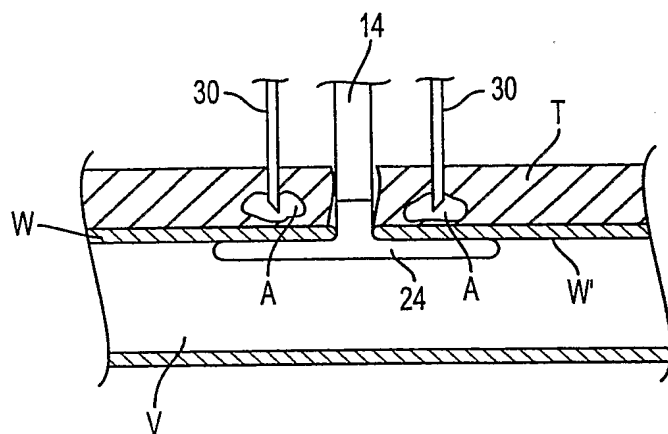


FIG. 4B

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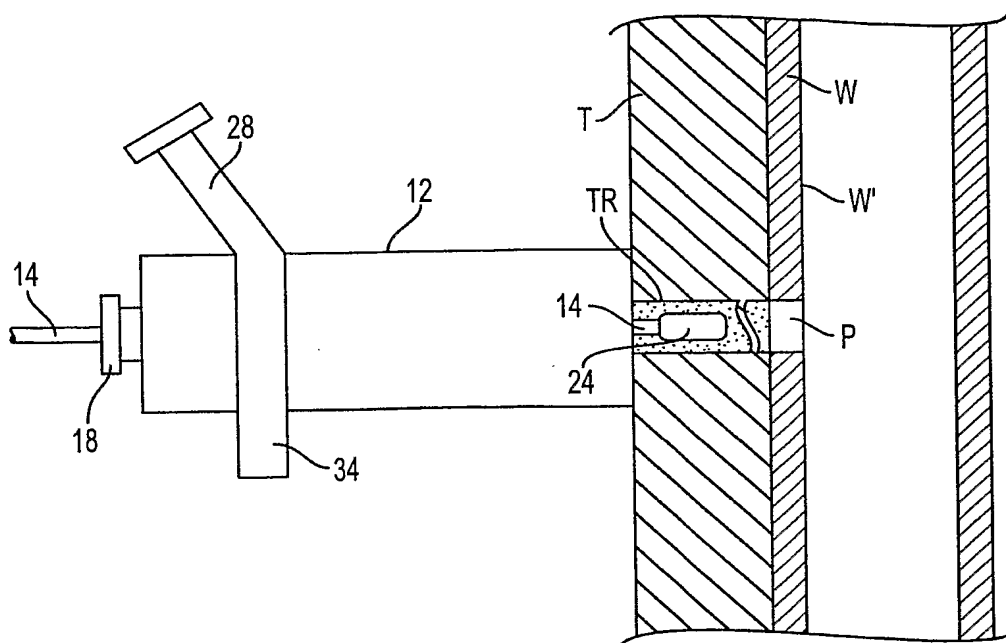


FIG. 5A

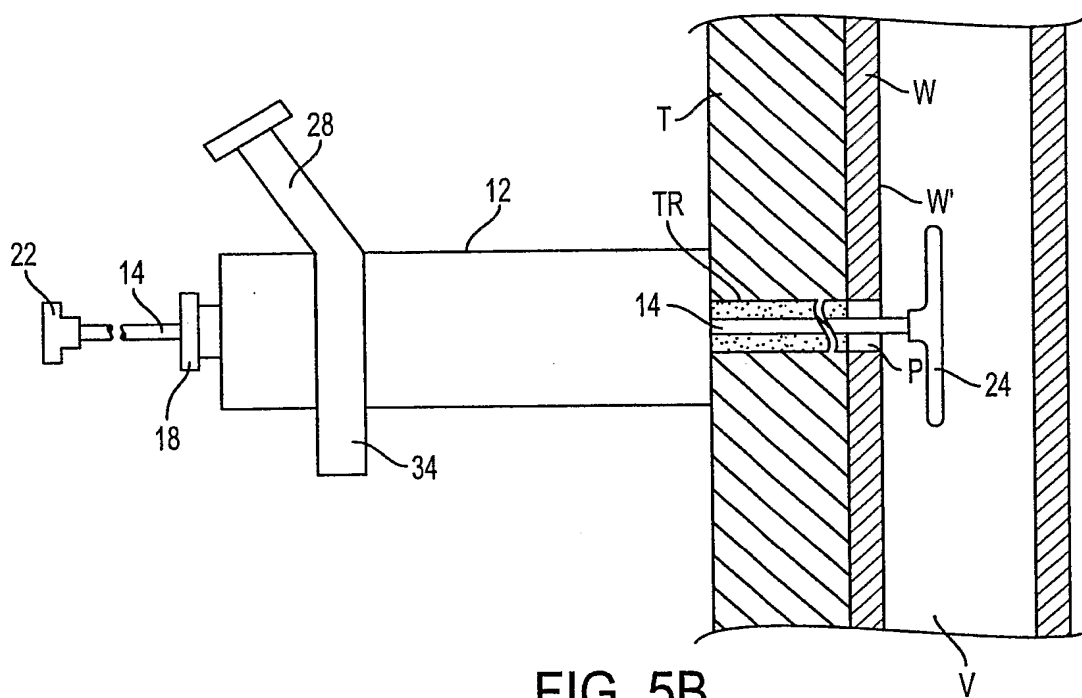


FIG. 5B



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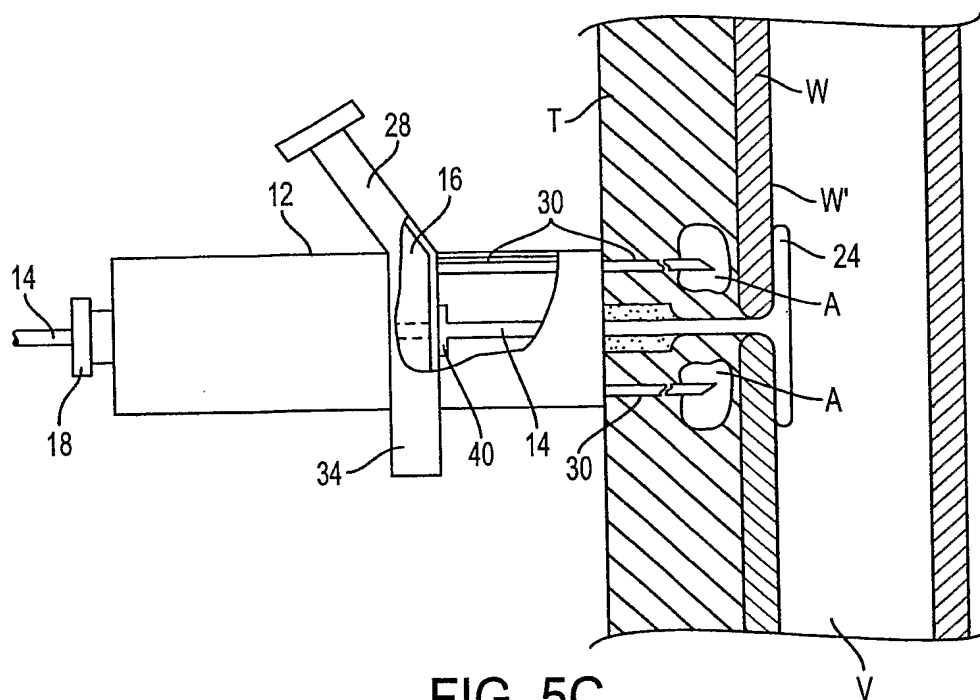


FIG. 5C

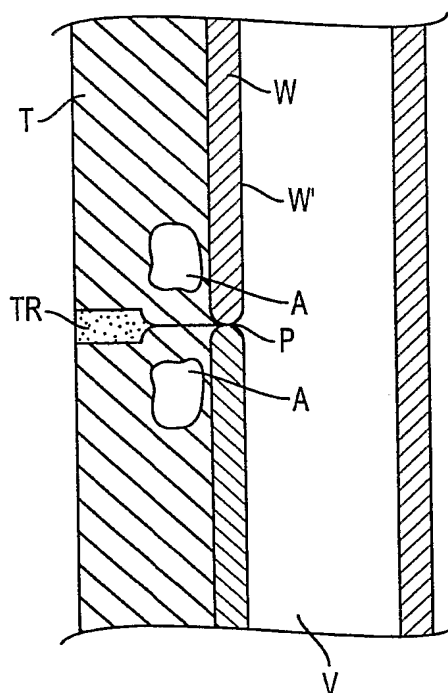


FIG. 5D

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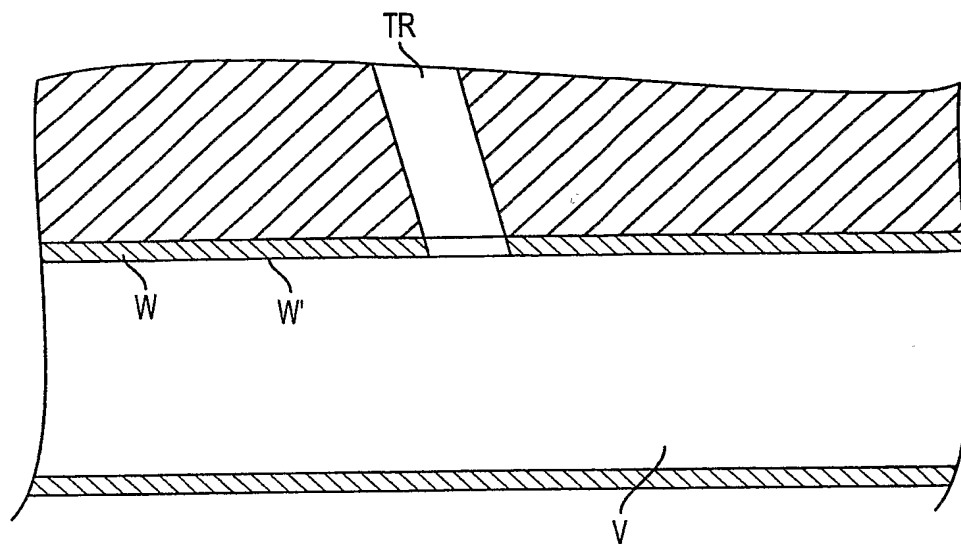


FIG. 6A

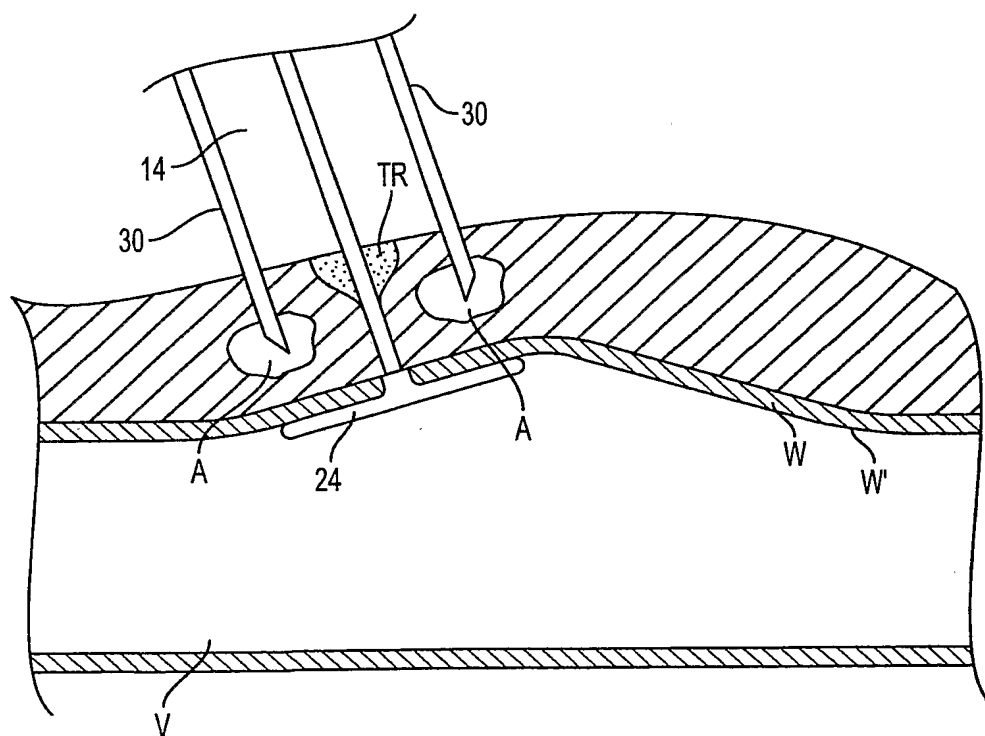


FIG. 6B

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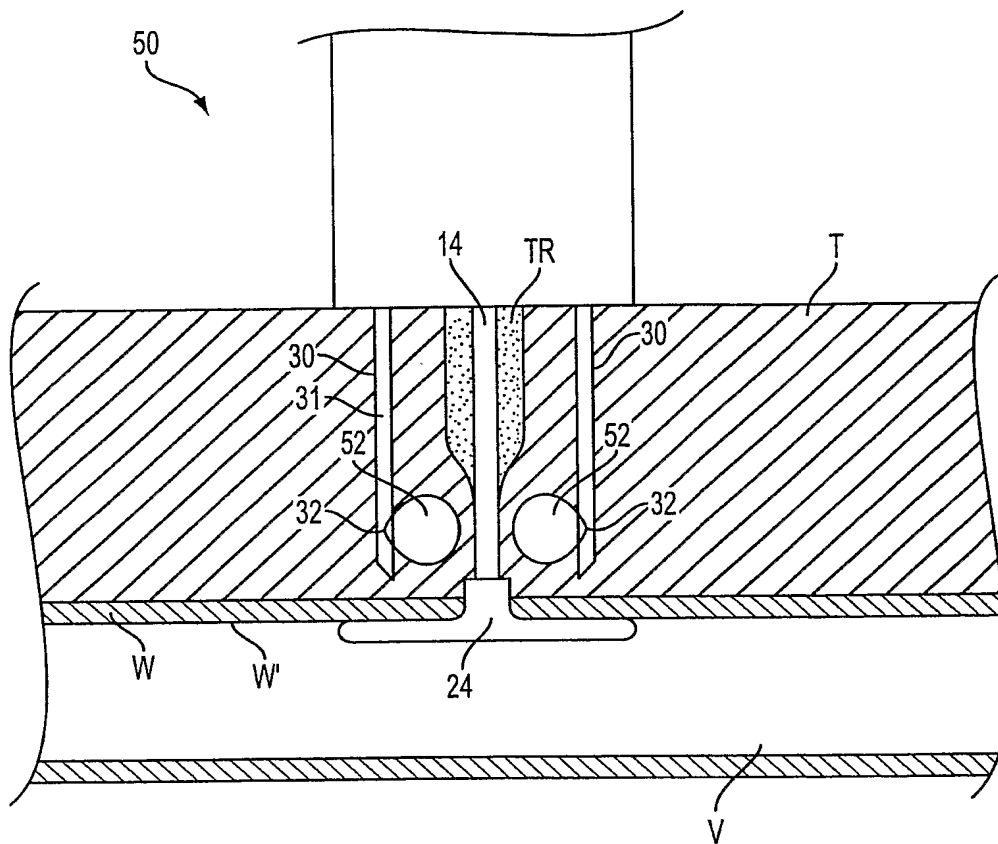


FIG. 7A

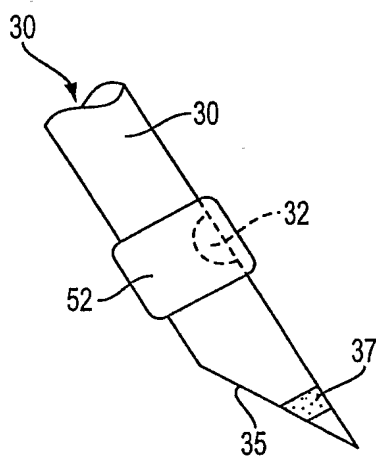


FIG. 7B

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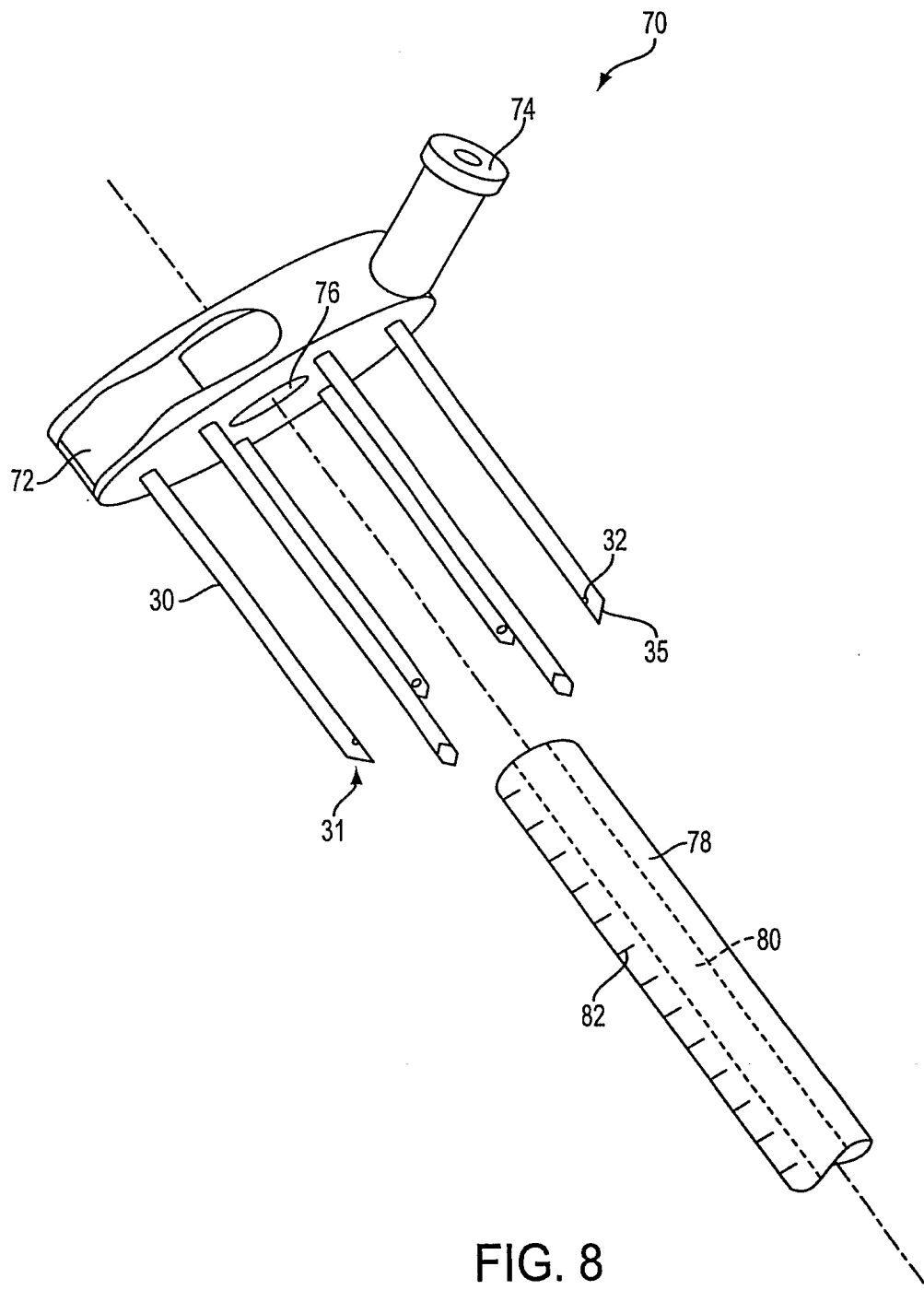


FIG. 8

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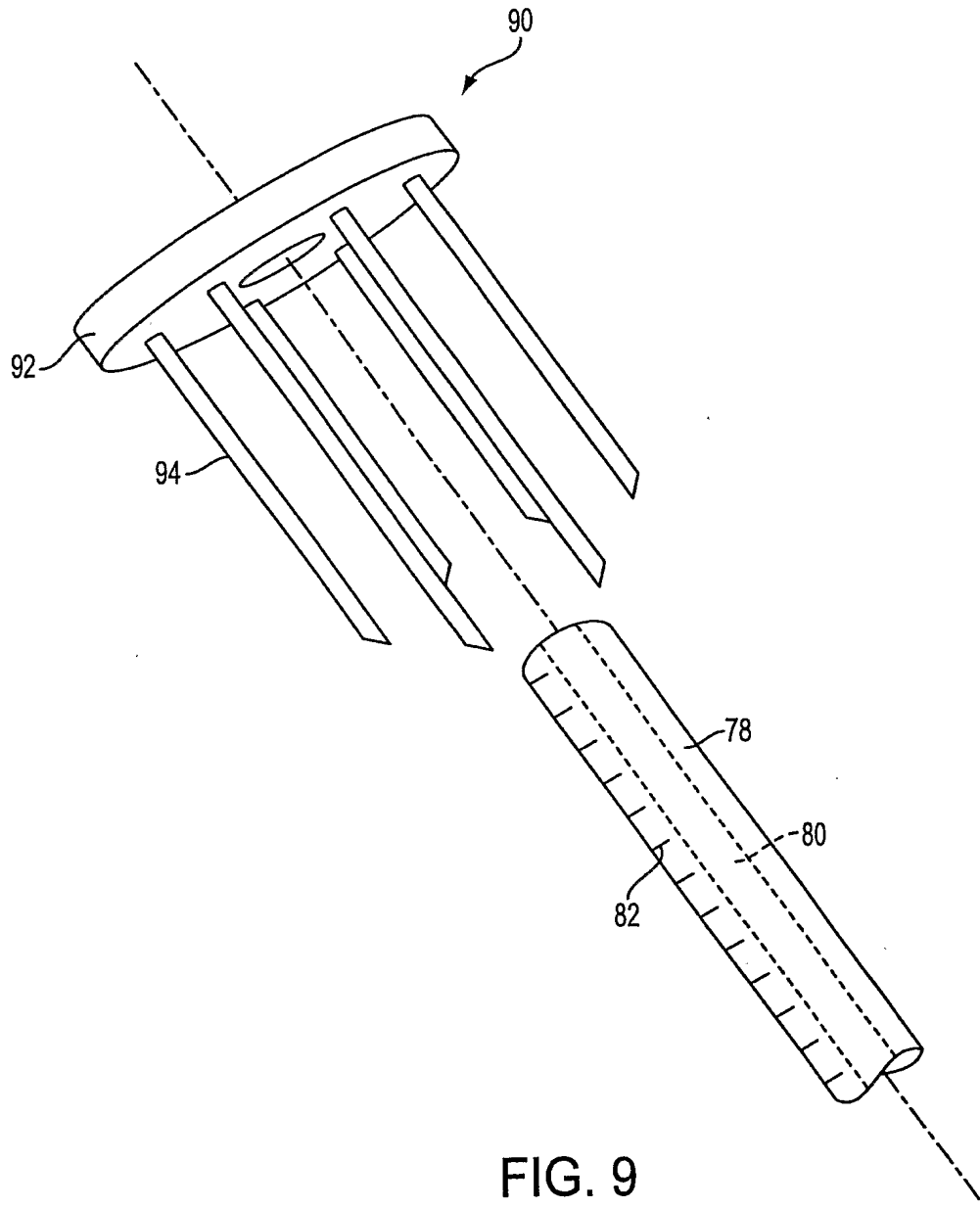


FIG. 9

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 03/08247

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61B17/03 //A61B17/062,A61M25/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, MEDLINE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 89 11301 A (KENSEY NASH CORP) 30 November 1989 (1989-11-30) figures 8-10 ---	1-23
A	WO 98 31286 A (QUINTON INSTR ;HUSS BRADLEY D (US)) 23 July 1998 (1998-07-23) figures 9-12 ---	1-23
A	US 4 392 493 A (NIEMEIJER HALBE J) 12 July 1983 (1983-07-12) figure 1 abstract ---	1,12
A	US 6 251 063 B1 (SILVERMAN DAVID E ET AL) 26 June 2001 (2001-06-26) column 19, line 35 -column 20, line 50; figures 14,21 --- -/--	1-23

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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"&" document member of the same patent family

Date of the actual completion of the international search

18 November 2003

Date of mailing of the international search report

10.12.2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

LEIF BRANDER / ELY

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/EP 03/08247

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6 391 048 B1 (BELEF W MARTIN ET AL) 21 May 2002 (2002-05-21) figures 6,7 abstract -----	1-23